



WO 99/11186

PCT/DE98/02695

5 ELECTRODE ARRANGEMENT FOR ELECTROTHERMAL TREATMENT OF  
HUMAN OR ANIMAL BODIES

10 FIELD OF THE INVENTION

BR  
The invention relates to an electrode arrangement for electrothermal treatment of the human or animal body, and in particular to electrocoagulation.

15 BACKGROUND OF THE INVENTION

The application of high-frequency alternating currents (specifically in the frequency range of between 300 kHz and 2 MHz) to generate high temperatures for tissue coagulation as a surgical procedure has long been known. In practice monopolar or bipolar electrode arrangements are used  
20 for introducing the HF-current into the tissue.

In the case of the monopolar arrangements, an electrode - also referred to as the neutral electrode - is designed in the form of a patient delivery line of large area and fixed to the patient not too far away from the point of intervention and earthed or connected to ground. A second  
25 electrode which is manipulated by the operator - also referred to as the active electrode - is connected to the alternating current generator. In terms of its shape, the second electrode is selected to be adapted to the respective use involved, in particular the size of the tissue region to be treated, in such a way that both the operational time and also the thermal  
30 loading of the region of the body or organ involved are reasonable.

35

1  
2  
I HEREBY CERTIFY THAT THIS CORRESPONDENCE  
IS BEING DEPOSITED WITH THE U.S. POSTAL  
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE  
ADDRESSED TO: COMMISSIONER OF PATENTS AND  
TRADEMARKS, WASHINGTON, D.C. 20231-0001  
July 25, 2000 Michael R. Parnell  
(DATE SIGNED)

In the case of arrangements for bipolar HF-surgery, both electrodes are connected to the HF-generator and are of mutually comparable dimensions, and are placed by the operator in the immediate proximity of the intervention location and are generally both guided actively.

5 Bipolar electrode arrangements are also known in which both coagulation electrodes are arranged on a catheter.

WO 97/17009 discloses a bipolar electrode arrangement with a fluid duct, by way of which flushing fluid can be introduced into the operational area.

10 WO 96/34569 and the documents referred to in the international search report disclose systems and processes for the ablation of body tissue while maintaining a pre-calculated maximum tissue temperature, in which fluid cooling or thermoelectric cooling is provided during the actual tissue coagulation procedure. Those known arrangements are intended  
15 for the introduction into body cavities by way of natural accesses.

#### SUMMARY OF THE INVENTION

The object of the present invention is to provide an electrode arrangement which permits quick and easy interstitial tissue coagulation even  
20 in relatively treatment regions.

The present invention includes the basic teaching that the electrode arrangement is mechanically designed in such a way as to facilitate direct penetration into body tissue and at the same time thermal means are provided for setting an advantageous effective temperature profile for the  
25 insertion phase.

That notion is based on the fact that the known arrangements which are cooled during the actual electrothermy procedure have admittedly afforded many advantages, but they are not well suited to being inserted into body tissue directly (invasively), with duct formation,  
30

35

35

for interstitial use. For that reason, in clinical practice, in many cases a duct to the treatment region is firstly opened with a separate incision instrument and in an additional working step on the part of the operator, before the electrothermy applicator is advanced in the duct.

5           It is further based on the realization on the part of the inventors that a "cold" applicator can be inserted with more difficulty than one which has been warmed somewhat.

          A short-term temperature control procedure to a temperature above about 30°C, more especially somewhat above body temperature,  
10       has proven to be advantageous for the insertion phase. As soon as the applicator has reached the treatment location and the actual electrothermal treatment is initiated, the procedure involves implementing a transition to adjusting an effective temperature profile which is optimized in regard to optimum coagulation performance. Even in periods  
15       of time of that phase, heating in addition to the generation of heat by way of the electrodes can be desirable.

          In a particularly effective, and at the same time inexpensive embodiment, the electrode or electrodes, or the electrode carrier, are provided with a cavity which is closed off in relation to the body and which  
20       is connected to a fluid source which can be the subject of temperature control within a predetermined range so that the suitably temperature-controlled fluid flows through the electrode or the carrier thereof.

          Distilled water is preferably used as the fluid, having regard to the low costs involved and the simple and safe handling thereof. In addition,  
25       for specific situations of use - possibly having regard to specific safety precautions - it is also possible to use other fluids which have proven their worth as heat-transfer agents, such as, for example, compressed air, carbon dioxide or silicone oil.

          In another possible embodiment the electrode or the electrode  
30       carrier has a thermoelectric heating and cooling device which can be, for

example, in the form of a combination of resistance heaters and Peltier elements.

5 In an embodiment which is simple to produce and handle, the electrode carrier is preferably a tubular, in particular cylindrical, element of electrically insulating material, on the peripheral surface of which is or are arranged one or more electrodes and in the interior of which is arranged the temperature control device. To facilitate penetration into the tissue, the electrode carrier desirably has a distal end which decreases or tapers to an approximately conical tip, and the electrodes are fitted substantially  
10 flush into the peripheral surface of the carrier.

In the preferred embodiment, in the form of a bipolar arrangement, the assembly includes two electrodes which are mounted to one and the same electrode carrier, in particular in an axial row. In that case, a common temperature control device is provided for both electrodes, such as,  
15 for example, the above-mentioned internal tube counterflow temperature control device.

In the preferred embodiment of this alternative configuration, the carrier element is of a cylindrical cross-section, while the two electrodes are of a hollow-cylindrical design and are arranged coaxially with respect  
20 to the longitudinal axis of the carrier element. For that purpose the electrodes can be disposed for example in the form of a metallic coating on the surface of the carrier element or each comprise a metal sleeve (for example of titanium or Nitinol) which is pushed onto the carrier element or better inserted flush and forms therewith a press fit.

25 In a particularly simple embodiment of this arrangement, which is safe and secure in terms of handling, axial fixing of the electrodes is not effected by a continuous carrier element, but by a hollow connecting element which connects the electrodes (which are also hollow) together at their ends. Besides axial fixing of the electrodes, the connecting element  
30 also performs the function of insulating the two electrodes relative to each other and it therefore comprises an electrically insulating material,

preferably PEEK (polyethyletherketone). The electrodes, the connecting portion and the supply line for the cooling agent (for example a relatively stiff PTFE-hoze which at the same times serves as a handle or gripping portion) are preferably annular or tubular and are of the same cross-section so that the surface of the catheter is a closed cylindrical configuration, whereby insertion into the body is facilitated and at the same time unwanted current density peaks can be substantially avoided.

In an alternative configuration of the preferred bipolar arrangement, which can be used in a particularly variable fashion, but which is more expensive in regard to structure, the axial spacing between the two electrodes is adjustable in order to be able to additionally vary the current density distribution and thus the heating output distribution. If the insulator length between the two electrodes in the axial direction is, for example, less than double the electrode diameter, it is advantageously possible to produce spherical coagulation necroses whereas the shape of the coagulation necroses with greater insulator lengths is rather oval.

The geometrical configuration of tissue coagulation can be substantially influenced by the temperature control effect - specifically by the choice of heating/cooling in a given sequence in respect of time.

In a preferred embodiment, besides the electrode or electrodes and the actual cooling device, an electrosurgery apparatus, which makes use of the invention, includes control means for establishing an advantageous effective temperature profile in the treatment region. The control means include, in particular, an effective temperature profile control device for controlling the heating or cooling output and/or the spatial distribution thereof, said control device being connected to the cooling device by way of a control signal connection for supplying a heating and/or cooling output control signal.

The effective temperature profile control device can also be adapted to produce and supply a heating output control signal for controlling the alternating current power and/or the spatial distribution thereof, and can

be connected to the alternating current source by way of a control input so that, besides the cooling device, it can also control the HF-source - acting as a "heating device" in the tissue. That provides for particularly flexible control of the treatment regime in the event of longer-duration intervention procedures.

5 The effective temperature profile control device preferably includes an interactively programmable calculation unit for determining simulated time-dependent effective temperature profiles on the basis of parameters of the tissue and the electrode arrangement and assumed parameters of  
10 the alternating current source and the heating or cooling device and for effecting a variation in the assumed parameters to ascertain an optimized effective temperature profile. In practice, use will be made of a PC with which the spatial temperature distribution and optionally the time-dependency thereof can be determined and on the screen of which the  
15 simulated effective temperature profiles can be represented as an image. That already makes it considerably easier for the operator, prior to an intervention procedure, to select a suitable combination of control values of the temperature control device and the HF-source.

Placing at least one temperature sensor, which responds with a low  
20 level of inertia and which is connected to an input of the effective temperature profile control device, at a predetermined position relative to the electrode arrangement in the body, in particular at an electrode or the electrode carrier, makes it possible to implement verification or rescaling of a simulated effective temperature profile during the intervention. The  
25 parameters which are to be used in the further course of the treatment can, therefore, be freshly adjusted at any moment in time.

Additional possible options in that respect are afforded by the provision of a device for ascertaining (especially in time-dependent fashion) the heating or cooling output produced by the temperature  
30 control device or a value influencing the heating or cooling output, and a

device for ascertaining the alternating current power outputted by the alternating current source, or a value influencing such current power.

Insofar as the effective temperature profile control device preferably has means for determining and storing a time-dependency of the cooling output control signal and/or the heating output control signal and for outputting the respective control signal in accordance with the stored time-dependency, a treatment which is established in advance in terms of the operations to be performed by the operator can take place substantially automatically, in regard to the control values. It will be appreciated in that respect that current changes in the control values still remain possible so that the operator can also react flexibly to unforeseen events. As changes of that kind can be detected and can be incorporated into an updated simulation calculation, the consequences for further progress of the intervention procedure can in turn be made clear to the doctor in a virtually real-time mode.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Advantageous developments of the invention are moreover characterized in the appendant claims or are set forth in greater detail hereinafter together with the description of the preferred embodiment of the invention with reference to the Figures in which:

Figure 1 is a graph view of a current density distribution, obtained as the result of a simulation calculation, along a bipolar electrode arrangement with two axially mutually displaced annular electrodes in body tissue;

Figure 2 is a diagrammatic view of the tissue conditions which are formed around an electrode arrangement shown in Figure 1 without temperature control;

Figure 3 is a diagrammatic view of the tissue conditions which are formed around an electrode arrangement shown in Figure 1 with temperature-controlled electrodes;

Figure 4 shows a comparative graph view of the temperature variation in the region of a non-temperature-controlled and a

temperature-controlled electrode arrangement in dependence on the spacing from the electrode surface;

Figures 5a and 5b show comparative views of the time-dependency of the tissue impedance measured in the course of a coagulation treatment and the electrical power outputted to the tissue in the case of a non-temperature-controlled and a temperature-controlled electrode arrangement;

Figures 6a and 6b are diagrammatic view in longitudinal section of a bipolar electrode arrangement with two axially mutually displaced fixed annular electrodes and internal fluid temperature control in accordance with an embodiment of the invention;

Figure 7 is a diagrammatic view of a bipolar electrode arrangement with mutually displaceable electrodes and internal temperature control in accordance with a further embodiment of the invention;

Figure 8 is a diagrammatic view showing the principle of an electrosurgery apparatus with measuring arrangement for determining essential treatment values;

Figure 9 shows a structure chart of a simulation program for on-line dosimetry in interstitial HF-thermotherapy;

Figure 10 is a pictorial representation of a simulation event; and

Figure 11 shows a block circuit diagram of the effective temperature profile control device of the electrosurgery apparatus shown in Figure 8.

#### DETAILED DESCRIPTION OF THE INVENTION

Figure 1 is a diagrammatic view in longitudinal section of a current density distribution obtained as the outcome of a simulation calculation by the inventors, along a bipolar electrode arrangement 10 with a pointed electrode 12 and an annular electrode 13 fixed axially at a spacing relative to the tip electrode 12 by an insulating electrode carrier 11 and insulated with respect to the tip electrode 12 in the body tissue 14. The portion of the electrode arrangement 10 in proximal relationship to the annular electrode 13 is surrounded by an insulating casing 15. Besides the view in longitudinal section, the Figure also lists in table form the maximum



current density (max) and the current density stages a through q which form the basis involved in representing the stimulation result.

It can already be seen at the few current density areas which can be seen at all in the longitudinal section, from the Table (essentially only the areas represented by the lines a through g), that current density peaks occur at the tip and in each of the boundary regions of the electrodes 12, 13 in relation to the insulating portions 11, 15 of the arrangement and the current density overall already falls severely at a small spacing from the surfaces. This shows that it is to be assumed that by far the greatest part of the electrical energy which is introduced into the body tissue 14 by the electrode arrangement 10 is converted into thermal energy in the tissue regions immediately adjoining the electrode surfaces. Tissue coagulation begins in the zones of highest current density at the mutually facing electrode edges.

As is diagrammatically shown in Figure 2, that results in the formation of a drying-out zone approximately in the configuration of a narrow rotational ellipsoid around an electrode arrangement of that kind. The electrode arrangement 20 shown in Figure 2 - in a slightly modified form in comparison with the configuration shown in Figure 1 - includes two cylindrical electrodes 22, 23 of equal length which are let into a cylindrical carrier 21, while the tip 20a is here of an insulating nature. The surrounding tissue 24 is divided in dependence on the temperature and the changes in tissue produced thereby into the drying-out zone 24A, a coagulation zone 24B and a structurally unchanged ("native") outer region 24c. In addition, particularly with a high level of power being introduced, a carbonization layer or zone (not shown in the Figure) can be formed directly at the surface of the arrangement.

The formation of the drying-out zone 24A dramatically worsens the electrical properties of the treatment region, in regard to an electrosurgical treatment. The increase in impedance as a result of the disappearance of tissue fluid results in a considerable reduction in the level

of electrical energy which is coupled into that zone and thus overall into the tissue 24. In addition a carbonization zone represents a region of low thermal conductivity and additionally worsens the treatment efficiency.

That was confirmed by measurements taken by the inventors, as Figure 5a shows. Figure 5a is a representation of the time-dependency of the tissue impedance measured in the course of a coagulation treatment, and the electrical power outputted to the tissue, in an electrode arrangement which does not involve temperature control. It can be seen from Figure 5a that, after an initial fall which is thought to be attributed to the agglomeration or accumulation of tissue fluid at the electrode surface, the impedance is almost constant at a value of around 100  $\Omega$  over a certain treatment duration. That permits an energy input of about 9 W (in the case of the arrangement which is here set to a maximum power of 10 W). After a treatment duration of about 6 minutes however the impedance suddenly jumps to about four times, as a result of drying-out of the electrode-tissue interface, and that results in a reduction in the electrical power converted to 2-3 W.

Figure 3 diagrammatically shows how the provision of internal temperature control for the electrode arrangement acts on the tissue conditions which are formed in the treatment region. The electrode arrangement 30 diagrammatically shown in Figure 3 includes - in this respect being in conformity with the structure shown in Figure 2 - two cylindrical electrodes 32, 33 of equal length which are let into a cylindrical carrier 31 and an insulating tip 30a. Reference 35 and the arrows at the proximal end of the illustrated part of the arrangement diagrammatically indicate a fluid counter-flow temperature control effect. The surrounding tissue 34 is divided into a relatively cool zone 34A, a coagulation zone 34B and a structurally unchanged outer region 34C. The formation of a carbonization layer is either not observed at all with such an arrangement, or at any event it does not begin directly at the electrode surface.

The conditions diagrammatically shown in Figure 3 can also be seen from a comparative graph representation of the spatial variation in temperature in the region of a non-temperature-controlled and a temperature-controlled electrode arrangement, as is shown in the upper region of Figure 4. The lower part of Figure 4 represents a sketch to illustrate the values which are linked in the function curves and also the external shape of the electrode arrangement.

It can be clearly seen from the function curves  $T(r)$  - which show the conditions at a moment in time shortly after beginning to apply the ac voltage - that the coagulation zone enlarges by a radius difference value  $\Delta r$ , in the case of a temperature-controlled arrangement. That already demonstrates the higher level of efficiency of the cooled arrangement. It is to be noted in regard to the function curve for the temperature-controlled arrangement that - depending on the respective setting of the temperature of the temperature control fluid - in practice the coagulation temperature is also exceeded in the electrode interface region after a certain period of treatment, so that treatment success also occurs in that region.

Figure 5b shows the time-dependency of the tissue impedance and the electrical power outputted to the tissue, when using a temperature-controlled electrode arrangement. It will be seen that the impedance (once again after an initial fall) and the converter power remain practically constant over the treatment period.

Figures 6a and 6b are a partly sectional view and a view in longitudinal section (in different sectional planes) of a temperature-controlled bipolar electrode arrangement 60 as an applicator for HF-induced interstitial thermotherapy of pathological tissue. It includes a tubular plastic carrier 61a, two axially mutually displaced, fixed metal annular electrodes 62, 63 which are fixed and insulated relative to each other by way of an annular plastic intermediate carrier 61b, a plastic tip 61c, an inner tube 64 and an inner tube spacer 64a. The electrodes are

connected to an HF-generator by way of lines (not shown in the Figure) comprising highly flexible stranded copper wires.

Depending on the area of use involved, the electrodes 62, 63 are of a diameter in the region of between 1 and 5 mm and are of a length of  
5 between 2 and 30 mm. In the illustrated example they are in the form of NITINOL-tubes and are pushed onto the plastic members 61a, 61b and 61c made from high temperature-resistant PEEK (polyethyletherketone) and glued in position thereon by means of high temperature-resistant adhesive. The diameter of the plastic members is adapted to that of the  
10 electrodes; the length of the intermediate portion is between 1.5 and 3 times the electrode diameter. The electrode regions which are disposed on the plastic members are coated with PTFE. The inner tube 64 comprises PTFE. Instead of the materials specified, it is also possible to use other tried-and-tested biocompatible materials which are easily  
15 slidable into body tissue and which are sufficiently temperature-resistant.

In order to guarantee easy duct-forming insertion directly into body tissue, besides the applicator being of a suitable configuration, the use of polished electrodes and possibly a slip or anti-friction coating are of advantage.

20 The electrode arrangement 60 is operated with an HF-power of up to 100 W (in the treatment of liver metastases or benign prostate hyperplasia, for example, 50-60 W). The temperature control medium introduced into the inner tube 64 is sterile distilled water at a temperature which is adjustable in dependence on time in the range of between 0 and  
25 80°C (for example upon introduction and at the beginning of the electrothermy treatment above 30°C and then ambient temperature) under a pressure of between 0 and 3 bars (for example 1 bar) at a flow rate of up to 200 ml/min (in the above-indicated example 40-60 ml/min). The water flows through the inner tube to the distal end thereof in the  
30 region of the tip 61c, it there passes into the annular space 65 between

the inner tube and the outer wall of the arrangement and it flows back in the annular space to the exterior of the body where it is drained away.

Finally, Figure 7 is a diagrammatic view of a further electrode catheter 70 which permits adjustment of the electrode spacing in order to be able to influence the field and current density distribution in the therapy area. For that purpose the catheter 70 has a cylindrical carrier element 71 of electrically insulating material, in the proximity of the distal end of which is disposed a first electrode 72, in the form of an annular metal coating. In its insulating region the carrier element 71 is axially displaceably guided by a second electrode 73 which extends around it and which is of a hollow-cylindrical configuration, in order to be able to adjust the electrode spacing and thus to make available an additional optional way of varying the effective temperature profile in the treatment region.

Figure 8 is a diagrammatic view showing the principle of an electrosurgery apparatus 80 with an HF-generator 81, an electrode catheter 82 (also referred to as an "HF-needle"), a temperature control fluid container 83, a temperature control fluid pump 84 and a temperature control fluid heater 85, as well as a measuring and evaluation arrangement for establishing essential treatment values. The measuring and evaluation arrangement here includes a storage oscilloscope 86 for detecting the electrical values, a quantitative flow meter 89 for detecting the temperature control fluid flow rate, a T-sensor 88 arranged in the treatment region, and a PC 87 connected by way of a suitable measurement data interface to the measuring devices 86, 88 and 89, for evaluation of the measurement values.

By virtue of the choice of suitable control members, for example a controllable HF-generator 81, a controllable fluid pump 84 and a controllable fluid heater 85 which are connected by control lines (shown in dash-dotted line in Figure 8) to the PC 87 (or a separate control unit connected to the PC), this arrangement can be used to control the essential treatment values, HF-output power and heating or cooling

output, in the course of an electrosurgical intervention, on the basis of active power measurement and impedance measurement and/or temperature measurement in the treatment space.

5 In particular, for the insertion phase, the fluid temperature and therewith (HF-generator 80 switched off) the temperature of the HF-needle 81 can be raised by means of the fluid heater 85 to a value in the region of body temperature (e.g., about 37°C) or above. In this phase the fluid pump 84 can be operated with a relatively low delivery or intermittently and a T-regulation action can possibly be omitted. After positioning of the  
10 HF-needle and when the HF-generator is switched on - or even better with a time delay after it is switched on, such time delay being predetermined or derived from signals from the T-sensor - the fluid heater is switched off. In that phase, in the normal situation, the fluid pump is controlled on the basis of the predetermined target temperature field or range and with  
15 evaluation of the signals from the T-sensor, so that T-regulation then takes place. However differentiated actuation of the fluid pump and the fluid heater can also be implemented throughout the entire interventional procedure on the basis of a predetermined time-dependent target temperature field or range which takes account of the particular  
20 requirements of the insertion phase.

The methodological basis of a representation of therapy progress is a simulation calculation which is based on the method of finite differences to solve the differential equations which describe the electrical and thermal phenomena involved. The fundamental procedure is  
25 diagrammatically shown in Figure 9 which does not require any further commentary.

Figure 10 is a pictorial representation of a simulation result as it appears on a computer screen. Illustrated therein are the T-distribution and the limits or boundaries of the treatment region ("Damaged") around  
30 an applicator which, on an insulating carrier body 101, carries two electrodes 102 and 103 arranged axially in a row.

Figure 11 shows a function block circuit diagram of an embodiment of the integrated evaluation and control device 87 of the HF-applicator system 80 from Figure 8. The peripheral components are illustrated in Figure 8 and are therefore omitted from Figure 11, and also the foregoing  
5 description relating to the fundamental control functions is not repeated here in relation to Figure 11.

The evaluation and control device 87 includes as its main components a procedure control (controller) 87.1, an effective temperature profile calculation unit 87.2 and a control value calculation  
10 unit 87.3. Associated with those components in the usual manner are separate program and data stores 87.2a, 87.2b and 87.3a, 87.3b respectively and jointly an I/O-interface 87.4, an input unit 87.5 and a display unit 87.6. The control value calculation unit 87.3 additionally has associated therewith at its output side a control procedure store 87.6 for  
15 storage of calculated time-dependencies of the cooling and heating output control signal whose access control (not shown separately) is connected to the controller 87.1.

The program and the data stores 87.2a, 87.2b of the effective temperature profile calculation unit 87.2 store in particular the above-  
20 mentioned simulation program ("dosimetry program") and the data sets which are required for implementation and which can be updated by current inputs by way of the input unit 87.5 on the part of the operator and automatically upon the input of new measurement data, by way of the interface 87.4. Accordingly, in each phase of a treatment - including the  
25 duct-forming insertion of the HF-needle - it is possible to acquire a current stimulation result for the effective temperature profile (see Figure 10) and thus a prognosis about further progress in the treatment.

The program and the data stores 87.3a, 87.3b of the control value calculation unit 87.3 store in particular algorithms and parameter sets  
30 which permit an association of specific control data sets with effective temperature profile data sets, possibly also control data tables which are

B<sup>2</sup>  
cont.

already directly accessible. Complete control data sets for an overall treatment can be advanced into the control procedure store 87.6 after the conclusion of a preparatory or updated simulation calculation, from where they can be outputted by way of the controller 87.1 to the automatic timing control in respect of the treatment parameters for adjustment of the fluid pump 84, the fluid heater 85 and the HF-generator 81 (Figure 8). In this respect also a corrective intervention on the part of the operator is possible in any phase.

The invention is not limited in terms of its implementation to the preferred embodiments set forth hereinbefore. On the contrary it is possible to envisage a number of alternative configurations which also make use of the claimed solution, in configurations of different kinds.

15

20

25

30

35